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## Parametrizing open universals

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### Abstract

All spaces are assumed to be regular Hausdorff topological spaces. If  $X$  and  $Y$  are spaces, then an open set  $U$  in  $X \times Y$  is an open universal set parametrized by  $Y$  if for each open set  $V$  of  $X$ , there is  $y \in Y$  such that  $V = \{x \in X: (x, y) \in U\}$ . A space  $Y$  is said to parametrize  $\mathcal{W}(\kappa)$  if  $Y$  parametrizes an open universal set of each space of weight less than or equal to  $\kappa$ . The following are the important results of this paper.

If a metrizable space of weight  $\kappa$  parametrizes  $\mathcal{W}(\kappa)$ , then  $\kappa$  has countable cofinality. If  $\kappa$  is a strong limit of countable cofinality, then there is a metrizable space of weight  $\kappa$  parametrizing  $\mathcal{W}(\kappa)$ . It is consistent and independent that there is a cardinal  $\kappa$  of countable cofinality, but not a strong limit, and a metrizable space of weight  $\kappa$  parametrizing  $\mathcal{W}(\kappa)$ .

It is consistent and independent that a zero-dimensional, compact first countable space parametrizing itself (equivalently, parametrizing all spaces of the same or smaller weight) must be metrizable. © 2002 Elsevier Science B.V. All rights reserved.

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### 1. Introduction

Let  $X$  and  $Y$  be spaces. Then  $Y$  is said to *parametrize*  $X$  if there is an open subset  $U$  of  $X \times Y$  (called an *open universal*) such that every open subset of  $X$  occurs as a horizontal slice,  $U^y = \{x \in X: (x, y) \in U\}$ , for some  $y$  in  $Y$ . More generally, if  $\mathcal{C}$  is a class of topological spaces, then  $Y$  parametrizes  $\mathcal{C}$ , if every  $X$  in  $\mathcal{C}$  is parametrized by  $Y$ .

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In [5,4] we investigated how the topological properties of  $X$  are affected by having an open (or, more generally, Borel) universal parametrized by a ‘nice’ space  $Y$ . In the present paper, the attention shifts to the parametrizing space  $Y$ , and we seek to determine which spaces will parametrize open universals for all members of some class of spaces. As proved in [5],  $2^\kappa$ , a compact space of weight and character  $\kappa$ , and  $D(2^\kappa)$ , a metrizable space (hence first countable and with a  $G_\delta$ -diagonal) of weight  $2^\kappa$ , parametrize all members of  $\mathcal{W}(\kappa)$ , the class of all spaces with weight no more than  $\kappa$ .

The advantage of the Cantor cube,  $2^\kappa$ , as a parametrizing space, is that it is *weight efficient*. In other words, it has the smallest possible weight to parametrize  $\mathcal{W}(\kappa)$ . Consider which other spaces of weight  $\kappa$  parametrize open universals for all spaces of weight  $\kappa$ . Any space containing the Cantor cube will do so, and since the parametrization is so natural, the authors originally conjectured that this was the solution: a space of weight  $\kappa$  parametrizes  $\mathcal{W}(\kappa)$  if and only if it contains a homeomorph of  $2^\kappa$ . But we show (Theorem 29) that any  $\kappa$ -Cantor Bernstein set in  $2^\kappa$  parametrizes  $\mathcal{W}(\kappa)$  but does not contain a copy of  $2^\kappa$ . Consistently, there is a subspace of the Cantor set,  $2^\omega$ , of size  $\mathfrak{c}$ , which can not parametrize an open universal of *any* infinite space (Example 30).

The discrete space of size  $2^\kappa$  is not weight efficient, but it is metrizable, and hence efficient with respect to character. The aim of this paper is to investigate when spaces can be parametrized in such a way as to combine the good points of the Cantor cube and discrete space parametrizations.

- For which cardinals  $\kappa$  is there a metrizable space of weight  $\kappa$  parametrizing all spaces of weight  $\kappa$ ?
- For which cardinals  $\kappa$  is there a first countable, compact space of weight  $\kappa$  parametrizing  $\mathcal{W}(\kappa)$ ?

Summarising the results of Sections 4 and 5, our answers to these two questions are as follows. For any cardinal  $\kappa$  of countable cofinality, say  $\kappa = \lim_{n \in \omega} \kappa_n$ , define  $C_\kappa$  to be  $\prod_{n \in \omega} D(\kappa_n)$ . Note that  $C_\kappa$  is a metrizable space, of weight  $\kappa$ , whose definition is independent of the choice of  $\kappa_n$ .

**Theorem A.** *Let  $\kappa$  be a cardinal.*

- (1) *If  $\text{cf}(\kappa) = \omega$  and  $\kappa$  is a strong limit, then  $C_\kappa$  parametrizes  $\mathcal{W}(\kappa)$ .*
- (2) *If a first countable space, with a  $G_\delta$ -diagonal, and weight  $\kappa$ , parametrizes  $\mathcal{W}(\kappa)$ , then  $\kappa$  has countable cofinality.*
- (3) (GCH) *The following are equivalent:*
  - (a) *There is a first countable space with a  $G_\delta$ -diagonal and weight  $\kappa$  parametrizing  $\mathcal{W}(\kappa)$ .*
  - (b) *The cofinality of  $\kappa$  is countable.*
  - (c)  *$C_\kappa$  parametrizes all spaces of weight no more than  $\kappa$ .*
- (4) *It is consistent that:*  
*No first countable space of weight  $\aleph_\omega$  parametrizes  $\mathcal{W}(\aleph_\omega)$  (or even  $D(\aleph_\omega)$ ).*
- (5) *It is consistent that:*  
 *$C_{\aleph_\omega}$  parametrizes  $\mathcal{W}(\aleph_\omega)$ , but  $\aleph_\omega$  is not a strong limit.*

Part (1) of Theorem B below is immediate from the fact that every uncountable compact metrizable space contains a homeomorph of the Cantor set. Note also the restriction to zero-dimensional spaces in part (2). The authors do not know whether this can be removed.

**Theorem B.**

- (1) *A compact, metrizable space parametrizes  $\mathcal{W}(\aleph_0)$  if and only if it is uncountable.*
- (2) *It is consistent that: Every zero-dimensional, first countable, compact space parametrizing itself is metrizable.*
- (3) *It is consistent that: There is a zero-dimensional, first countable, compact space of weight  $\aleph_\omega$  parametrizing  $\mathcal{W}(\aleph_\omega)$ .*

In Section 3 we introduce a new family of cardinal invariants: for each cardinal  $\mu$ , the  $\mu$ -weight, denoted  $w_\mu$ , of a space  $X$ , is the size of a smallest family of open subsets of  $X$ , so that every open subset of  $X$  is the union of no more than  $\mu$  members of the family. The connection with the above questions is that a space  $X$  has an open universal parametrized by a space  $Y$  of weight no more than  $\lambda$  and character no more than  $\mu$  if and only if  $w_\mu(Y) \leq \lambda$  (Proposition 10).

Key to all our results is an examination of which spaces can parametrize discrete spaces. By  $\mathcal{P}(\kappa)$ , we mean the set of all subsets of the discrete space  $D(\kappa)$ . If a first countable space  $Y$  of weight  $\lambda$  parametrizes  $D(\kappa)$  then there is a  $\mathcal{C} \subseteq \mathcal{P}(\kappa)$  of size no more than  $\lambda$  such that every subset of  $\kappa$  is a countable union of elements of  $\mathcal{C}$  [5, Theorem 10]. Conversely, if for a pair of cardinals  $\kappa$  and  $\lambda$  there is such a family, then the metric space  $D(\lambda)^\omega$  of weight  $\lambda$  parametrizes  $D(\kappa)$  [5, Lemma 2]. This leaves the interesting combinatorial problem (taking  $\kappa = \omega_1$  for concreteness), of the minimal  $\lambda$  needed. Clearly  $\lambda = 2^{\aleph_1}$  suffices. We can also show that  $\lambda$  must be strictly larger than  $\kappa$  ( $= \aleph_1$  here), and under **CH** or **MA** +  $\neg$ **CH**, no  $\lambda < 2^{\aleph_1}$  works (Theorem 13). But it is consistent to have  $\mathcal{C} \subseteq \mathcal{P}(\aleph_1)$  countably generating  $\mathcal{P}(\aleph_1)$  (as above) and  $|\mathcal{C}| < 2^{\aleph_0}$ . In fact a much stronger claim is consistent (Theorem 13(3)). These results have implications for the hereditary cellularity of spaces parametrized by spaces with small character (Theorem 14).

Other results in Section 4 include some showing that the spaces  $C_\kappa$  play a similar role among metrizable spaces as Cantor cubes do in compact spaces. So, for example, any  $\kappa$ -metric Bernstein set in  $C_\kappa$  parametrizes  $\mathcal{W}(\kappa)$  although it contains no copy of  $C_\kappa$  (Theorem 24). We also consider which spaces parametrize themselves. Evidently, if a space  $Z$  parametrizes  $\mathcal{W}(w(Z))$ , then  $Z$  has a self parametrizing universal. Hence  $2^\kappa$ , the  $\kappa$ -Cantor Bernstein sets,  $C_\kappa$  and  $\kappa$ -metric Bernstein sets, are all self parametrizing. As recorded above, a first countable space  $Z$  with a  $G_\delta$ -diagonal parametrizing itself must have weight of countable cofinality. We can also show if  $Z$  has a  $G_\delta$ -diagonal and is self parametrizing, then  $w(Z) \leq hc(Z)$  (Proposition 25). In particular, if  $Z$  is hereditarily c.c.c. then it is metrizable. In contrast to the situation with (zero-dimensional) compact spaces (Theorem B(2)), there is, in **ZFC**, a first countable, non-metrizable space  $Z$ , with  $G_\delta$ -diagonal, parametrizing  $\mathcal{W}(w(Z))$  (Example 28).

We conclude with a list of open problems, and consider possible future developments.

## 2. Definitions and background material

Our notation follows that of [3,9]. All spaces are assumed to be regular and Hausdorff. Below are the definitions of the cardinal invariants hereditary cellularity  $hc$  and  $\widehat{hc}$ , hereditary Lindelöf degree  $hL$  and  $\widehat{hL}$ , hereditary density degree  $hd$  and  $\widehat{hd}$ , and weight  $w$ , which play a key role in this paper. Then follows a brief discussion of the so-called ‘sup = max’ problem. Finally we have collected together those results concerning open universal sets from [5], relevant to our present discussion.

*Cardinal invariants.* Let  $X$  be a space. The *weight*,  $w(X)$ , of  $X$  is the minimal size of a base for  $X$ . The *hereditary density*,  $hd(X)$ , of  $X$ , is the supremum of all cardinals  $\kappa$ , such that there are different  $x_\alpha \in X$  and open neighbourhoods  $V_\alpha$  of  $x_\alpha$  ( $\alpha \in \kappa$ ) with the property that  $x_\beta \in V_\alpha$  implies  $\beta \geq \alpha$ ; while  $\widehat{hd}(X)$  is the minimum of all  $\kappa$  for which there are no such left separated sequences of length  $\kappa$ . The *hereditary Lindelöf degree*,  $hL(X)$ , of  $X$ , is the supremum of all cardinals  $\kappa$ , such that there are different  $x_\alpha \in X$  and open neighbourhoods  $V_\alpha$  of  $x_\alpha$  ( $\alpha \in \kappa$ ) with the property that  $x_\beta \in V_\alpha$  implies  $\beta \leq \alpha$ ; while  $\widehat{hL}(X)$  is the minimum of all  $\kappa$  for which there are no such right separated sequences of length  $\kappa$ . The *hereditary cellularity*,  $hc(X)$  of  $X$ , is the supremum of all cardinals  $\kappa$ , such that there are different  $x_\alpha \in X$  and open neighbourhoods  $V_\alpha$  of  $x_\alpha$  ( $\alpha \in \kappa$ ) with the property that  $x_\beta \in V_\alpha$  implies  $\beta = \alpha$ ; while  $\widehat{hc}(X)$  is the minimum of all  $\kappa$  for which there are no such discrete sequences of length  $\kappa$ .

A space  $X$  is: *hereditarily separable* if  $hd(X) \leq \aleph_0$ , *hereditarily Lindelöf* if  $hL(X) \leq \aleph_0$ , or *hereditarily c.c.c.* if  $hc(X) \leq \aleph_0$ . A space  $X$  is an *S-space* if it is hereditarily separable but not hereditarily Lindelöf, and is an *L-space* if it is hereditarily Lindelöf but not hereditarily separable.

*The sup = max problem.* The question of when (for example)  $hc < \widehat{hc}$  is an instance of the ‘sup = max’ problem. Juhasz in [7, Chapter 4] has recorded numerous results about sup = max problems. Another source is [6]. We list the results which we will have use of in the next section. It is clear that the problem has a positive answer when  $hc(X)$  is a successor cardinal.

**Theorem 1** (Juhasz [7, p. 86]). *Let  $\kappa$  be a strong limit cardinal with uncountable cofinality. Then a space  $X$  with  $hc(X) = \kappa$  satisfies  $hc(X) < \widehat{hc}(X)$ .*

**Theorem 2** [6, Theorem 8.1]. *Let  $X$  be a metrizable space. Then  $hc(X) < \widehat{hc}(X)$ .*

*Open universals.* In each of the results below, the space  $X$  has an open universal  $U$  parametrized by the space  $Y$ .

**Lemma 3.** *If  $X'$  is a subspace of  $X$ , then  $U \cap (X' \times Y)$  is an open universal set for  $X'$  parametrized by  $Y$ .*

**Theorem 4.** *The following are equivalent for a regular cardinal  $\kappa$ :*

- (1)  $2^{\aleph_0} < 2^\kappa$ .
- (2) If  $X$  has an open universal set parametrized by  $Y$ , with  $|Y| \leq \mathfrak{c}$ , then  $hc(X) < \kappa$ .
- (3) Every space  $X$  with an open universal set parametrized by a compact first countable separable space  $Y$  has hereditary cellularity less than  $\kappa$ .

**Theorem 5.**

- (1)  $w(X) \leq nw(Y)$ ;
- (2)  $hd(X) \leq hL(Y)$ ;
- (3)  $hL(X) \leq hd(Y)$ ;
- (4)  $hc(X) \leq hc(Y)$ .

**Corollary 6.** *The following are equivalent:*

- (1)  $X$  has an open universal set parametrized by  $2^\omega$ .
- (2)  $X$  has an open universal set parametrized by some cosmic space.
- (3)  $X$  is separable and metrizable.

**Theorem 7.** *Suppose  $X$  has a  $G_\delta$ -diagonal. Then:*

- (1)  $hLt(X^\omega) \leq hd(Y)$ ; (2)  $hd(X^\omega) \leq hL(Y)$ ; (3)  $hc(X^\omega) \leq hc(Y)$ .
- (1)  $\widehat{hL}(X^\omega) \leq \widehat{hd}(Y)$ ; (2)  $\widehat{hd}(X^\omega) \leq \widehat{hL}(Y)$ ; (3)  $\widehat{hc}(X^\omega) \leq \widehat{hc}(Y)$ .

The ‘hat’ versions ((1) etc.) are not explicitly stated in [5, Theorem 22], but follow immediately from the argument given for the ‘non-hatted’ case.

**Lemma 8.** *If parametrizes  $X$ ,  $w(X) \leq hL(X \times Y)$ .***3. Parametrizing discrete spaces**

For a cardinal  $\mu$ , and a family  $\mathcal{C}$ , define  $\langle \mathcal{C} \rangle_\mu = \{\bigcup \mathcal{D} : \mathcal{D} \in [\mathcal{C}]^{\leq \mu}\}$ . Now define the  $\mu$ -weight, denoted  $w_\mu$ , of a space  $(X, \tau)$ , to be  $\min\{|\mathcal{B}| : \mathcal{B} \text{ is a family of open sets such that } \langle \mathcal{B} \rangle_\mu = \tau\}$ . The case when  $\mu$  is  $\aleph_0$  is sufficiently important that we write  $\sigma w$  for  $w_{\aleph_0}$ .

We note the following basic results on  $\mu$ -weight, and follow that with the relationship between  $\mu$ -weight and open universals.

**Lemma 9.** *Let  $(X, \tau)$  be a space;  $\mu_1$  and  $\mu_2$  be infinite cardinals, with  $\mu_1 \geq \mu_2$ ; and  $n \in \omega$ . Then:*

$$w(X) = w_{hL(X)}(X) \leq w_{\mu_1}(X) \leq w_{\mu_2}(X) \leq w_n(X) = |\tau|.$$

**Proposition 10.** *The following are equivalent for a space  $X$ , and cardinals  $\lambda$  and  $\mu$  with  $\mu \leq \lambda$ :*

- (1) The space  $D(\lambda)^\mu$  parametrizes an open universal for  $X$ .
- (2) There is a space  $Y$  of weight  $\leq \lambda$  and character  $\leq \mu$  parametrizing  $X$ .
- (3) The  $\mu$ -weight of  $X$  is no more than  $\lambda$ .

**Proof.** (1)  $\Rightarrow$  (2): The space  $D(\lambda)^\mu$  has weight  $\lambda$  and character  $\mu$ .

(2)  $\Rightarrow$  (3): From [5, Theorem 10], there is a base for  $X$  of size no greater than  $nw(Y)$ . It is easy to see that this base is a  $\mu$ -base.

(3)  $\Rightarrow$  (1): We can use the same arguments as in [5, Lemma 2].  $\square$

Let  $\kappa$ ,  $\lambda$  and  $\mu$  be cardinals. We will say that  $\mathcal{P}_\kappa \equiv \langle \lambda \rangle_\mu$  holds if and only if there is a  $\mathcal{C}$  contained in  $\mathcal{P}(\kappa)$  such that  $|\mathcal{C}| \leq \lambda$  and  $\langle \mathcal{C} \rangle_\mu = \mathcal{P}(\kappa)$ . The next proposition, relating the combinatorial statement  $\mathcal{P}_\kappa \equiv \langle \lambda \rangle_\mu$  to efficient parametrization of discrete spaces, is simply a restatement of Proposition 10 in this special case.

**Proposition 11.** *The following are equivalent:*

- (1)  $\mathcal{P}_\kappa \equiv \langle \lambda \rangle_\mu$  holds.
- (2) There is a space  $Y$ , with  $w(Y) \leq \lambda$  and  $\chi(Y) \leq \mu$  parametrizing  $D(\kappa)$ .
- (3) The space  $D(\lambda)^\mu$  parametrizes  $D(\kappa)$ .

Note that for all  $\kappa$ ,  $\mathcal{P}_\kappa \equiv \langle \kappa \rangle_\kappa$  is true. If  $\mathcal{P}_\kappa \equiv \langle \lambda \rangle_\mu$  is true and if  $\gamma \geq \lambda$  and  $\nu \geq \mu$ , then  $\mathcal{P}_\kappa \equiv \langle \gamma \rangle_\nu$  is true. Restrictions are recorded below; first **ZFC** results, then consistency results. The latter are the central technical data for this paper.

**Theorem 12.** *Let  $\kappa$ ,  $\lambda$  and  $\mu$  be cardinals.*

- (1)  $\mathcal{P}_\kappa \equiv \langle 2^\kappa \rangle_1$  is true.
- (2) If  $\mathcal{P}_\kappa \equiv \langle \lambda \rangle_\mu$  holds, then  $\lambda^\mu \geq 2^\kappa$ .

Suppose  $cf(\kappa) > \mu$ :

- (3)  $\mathcal{P}_\kappa \equiv \langle \kappa \rangle_\mu$  is false.
- (4) If  $\mathcal{P}_\kappa \equiv \langle \lambda \rangle_\mu$  holds, then  $\lambda \geq |\mathcal{A}|$  for any maximal almost disjoint family  $\mathcal{A}$  of subsets of  $\kappa$ .

**Proof.** *Ad (1):* Clear.

*Ad (2):* Immediate from  $|\mathcal{C}| = \lambda$  and  $|\langle \mathcal{C} \rangle_\mu| = |\mathcal{P}(\kappa)|$ .

*Ad (3):* Suppose not. Note that we can find a family  $\{\Gamma_\alpha\}_{\alpha \in \kappa} \subseteq [\kappa]^\kappa$  of disjoint subsets such that  $\kappa = \bigcup_{\alpha \in \kappa} \Gamma_\alpha$ . List the elements of  $\mathcal{C}$  as  $\{C_\alpha\}_{\alpha \in \kappa}$ , and, for each  $\alpha < \kappa$ , let  $\mathcal{C}_\alpha = \{C_\beta\}_{\beta \leq \alpha}$ .

Fix  $\alpha < \kappa$ . The set  $\Lambda_\alpha = \{\beta \in \Gamma_\alpha : \text{there is } \gamma \leq \alpha \text{ such that } \Gamma_\alpha \cap C_\gamma = \{\beta\}\}$  has size  $< \kappa$ . So we may pick  $\beta_\alpha$  in  $\Gamma_\alpha \setminus \Lambda_\alpha$ .

Let  $A = \{\beta_\alpha\}_{\alpha < \kappa}$ . Then  $A \subseteq \kappa$ , and, using the cofinality restriction, there is an  $\alpha_0 < \kappa$  such that  $A \in \langle \mathcal{C}_{\alpha_0} \rangle_\mu$ . Now  $\beta_{\alpha_0}$  is in  $A$ , so there is  $\beta < \alpha_0$  such that  $\beta_{\alpha_0} \in C_\beta \subseteq A$ . By definition of  $\Lambda_{\alpha_0}$ , there is  $x \in C_\beta \cap \Gamma_{\alpha_0} \setminus \{\beta_{\alpha_0}\} \subseteq A \cap \Gamma_{\alpha_0} \setminus \{\beta_{\alpha_0}\}$ . Then there is  $\gamma < \kappa$ ,  $\gamma \neq \alpha_0$ , such that  $x = \beta_\gamma$ . Therefore,  $\beta_\gamma \in \Gamma_{\alpha_0} \cap \Gamma_\gamma$ , which is a contradiction.

*Ad (4):* Suppose that  $\mathcal{A}$  is a maximal almost disjoint family on  $\kappa$ , and that each element  $A$  of  $\mathcal{A}$  is a  $\mu$ -union of elements of  $\mathcal{C}$ . Since  $cf(\kappa) > \mu$ , for each  $A$  in  $\mathcal{A}$ , there is a  $C(A) \in \mathcal{C}$  such that  $|C(A)| = \kappa$  and  $C(A) \subseteq A$ . This implies that  $|\mathcal{C}| \geq |\mathcal{A}|$ .  $\square$

**Theorem 13.** *Let  $\kappa$  be a cardinal, with  $cf(\kappa) > \mu$ :*

- (1) (**GCH**)  $\mathcal{P}\kappa \equiv \langle \lambda \rangle_\mu$  holds if and only if  $\lambda \geq 2^\kappa$ .  
 (2) (**MA** and  $\aleph_1 \leq \kappa < 2^{\aleph_0}$ )  $\mathcal{P}\kappa \equiv \langle \lambda \rangle_\mu$  holds if and only if  $\lambda \geq 2^\omega$  (and of course  $2^{\aleph_0} = 2^{\aleph_1} = 2^\kappa$ , so this contrasts with (1) above).  
 (3) It is consistent that:  
 For all  $n$  in  $\omega$ , we have  $\mathcal{P}\aleph_n \equiv \langle \aleph_\omega \rangle_{\aleph_0}$  holding, and  $2^{\aleph_n} = \aleph_{\omega+1} = \aleph_\omega^{\aleph_0}$ .

**Proof.** Ad (1): Immediate from Theorem 12 (2 and 3).

Ad (2): This follows from the observation that under **MA**, there is an uncountable subset of  $\omega_1$  which fails to contain any one of a given collection of less than  $2^{\aleph_0}$  uncountable sets. This uses a Cohen real argument, which we proceed to describe.

Suppose (**MA** and  $\kappa < 2^{\aleph_0}$ ) holds, but the claim is false, with  $\mathcal{C}$  witnessing  $\mathcal{P}\kappa \equiv \langle \lambda \rangle_\mu$  true. Let  $\mathbb{P} = \{(E, F) \in [\kappa]^{<\omega} \times [\kappa]^{<\omega} : E \subseteq F\}$ , with order that satisfies the c.c.c. defined via

$$(E', F') \leq (E, F) \quad \text{iff} \quad F' \supseteq F \text{ and } E' \cap F = E.$$

Let  $D_C = \{(E, F) \in \mathbb{P} : F \cap C \neq E \cap C\}$  for each  $C \in \mathcal{C}$  of size  $\kappa$ , and  $\Delta_\alpha = \{(E, F) \in \mathbb{P} : \exists \beta \geq \alpha (\beta \in E)\}$  for each  $\alpha \in \kappa$ . These are dense in  $\mathbb{P}$ . Let  $G$  be a generic filter meeting the given dense sets, and  $S = \bigcup \{E : (E, F) \in G\} \in [\kappa]^\kappa$ .

We claim that there is no element of  $\mathcal{C}$  of size  $\kappa$  that is also a subset of  $S$ . If  $C$  is such an element, then there is  $(E, F) \in G \cap D_C$ . That is to say,  $F \cap C \neq E \cap C$ , witnessed by some  $\alpha$ . Suppose  $\alpha \in S$ . Then there is  $(E', F') \in G$  such that  $\alpha \in E'$ . Let  $(E^*, F^*)$  be in  $G$  below both  $(E, F)$  and  $(E', F')$ . Then  $\alpha \in E^*$ . But  $(E, F) \geq (E^*, F^*)$  means that  $E^* \cap F = E$  and this would contain  $\alpha$ , which contradicts the assumption  $\alpha \notin E$ .

Ad (3): Let  $G$  be  $(V, Fn(\aleph_\omega, \omega))$ -generic, where **GCH** holds in  $V$ .

We say that a name for a subset of an ordinal  $\lambda$  is *nice* if it is of the form  $\{\langle p, \check{\alpha} \rangle : \alpha \in \lambda, p \in \mathcal{A}_\alpha\}$ , where  $\check{\alpha}$  is a standard name for  $\alpha$ , and  $\mathcal{A}_\alpha$  is some antichain.

We say that a nice name  $\dot{T}$  for a subset of  $\lambda$  is  $\lambda$ -*bounded* if whenever  $\langle p, \check{\alpha} \rangle \in \dot{T}$ ,  $\text{dom}(p) \subseteq \lambda$ . Note that there are at most  $\aleph_\delta$  pairs  $\langle p, \check{\alpha} \rangle$  such that  $\alpha < \aleph_\delta$  and  $\text{dom}(p) \subseteq \aleph_\delta$ ; so there are only at most  $2^{\aleph_\delta} = \aleph_{\delta+1}$   $\aleph_\delta$ -bounded nice names.

Next we observe that every nice name  $\dot{S}$  for a subset of  $\aleph_\omega$  is the union of names  $\dot{S}_n$ , for  $n \in \omega$ , where  $\dot{S}_n$  is  $\aleph_n$ -bounded: simply define  $\dot{S}_n$  to be  $\{\langle p, \check{\alpha} \rangle \in \dot{S} : \text{dom}(p) \subseteq \aleph_n, \alpha < \aleph_n\}$ . And, since  $\dot{S} = \bigcup_{n \in \omega} \dot{S}_n$ , so also  $\Vdash \dot{S} = \bigcup_{n \in \omega} \dot{S}_n$ .

In other words, in the generic extension  $V[G]$ , every subset of  $\aleph_\omega$  is a union of countably many sets, each of which has, for some  $n$ , an  $\aleph_n$ -bounded nice name. Let  $\mathcal{G}$  be the set of all sets with, for some  $n$ , an  $\aleph_n$ -bounded nice name. Then what we have just established is that  $\mathcal{P}\aleph_\omega = \langle \mathcal{G} \rangle_{\aleph_0}$ . But the cardinality of  $\mathcal{G}$  is at most  $\sum_{n \in \omega} \aleph_{n+1} = \aleph_\omega$ . So  $\mathcal{P}\aleph_\omega \equiv \langle \aleph_\omega \rangle_{\aleph_0}$  holds, so a fortiori  $\mathcal{P}\aleph_n \equiv \langle \aleph_\omega \rangle_{\aleph_0}$  holds.

In the generic extension,  $2^{\aleph_n} = \aleph_{\omega+1} = \aleph_\omega^{\aleph_0}$ . So, of course,  $\aleph_\omega$  is not a strong limit.  $\square$

Note that if  $Y$  parametrizes an open universal of  $X$ , then it parametrizes open universals of any subspace of  $X$ . The above results then yield bounds on  $\widehat{hc}$  of a space  $X$  with open universal parametrized by a space  $Y$  in terms of the weight and character of  $Y$ . Where the ‘sup = max’ has a positive answer for  $hc$  (see Theorems 1 and 2), the bound is *strict* on the hereditary cellularity. For simplicity we only give the result for  $\sigma w$ .

**Theorem 14.** *Let a first countable space  $Y$  parametrize an open universal for a space  $X$ . Let  $\kappa$  be a cardinal with uncountable cofinality. Then  $\widehat{hc}(X) \leq \kappa$  if:*

- (i)  $w(Y) \leq \kappa$  or
- (ii)  $w(Y) < 2^\kappa$  and  $(w(Y)^{\aleph_0} < 2^\kappa)$  or  $(\kappa^+ = 2^\kappa)$  or **(MA)** and  $\kappa < 2^{\aleph_0}$ .

And hence,  $hc(X) < \kappa$  if, in addition:

- (a)  $\kappa$  is a successor, or
- (b)  $\kappa$  is a strong limit, or
- (c)  $X$  is metrizable.

Suppose a space  $X$  has  $\sigma w(X) \leq \aleph_1$  (equivalently, has an open universal parametrized by a first countable space of weight  $\aleph_1$ ). Then we deduce from Theorem 14 that  $X$  is hereditarily c.c.c. and weight  $\leq \aleph_1$ . Further, we know if a space  $X$  has weight  $\leq \aleph_1$  and is hereditarily Lindelöf, then  $X$  has  $\sigma w \leq \aleph_1$ . It is natural to ask:

1. Does  $\sigma w(X) \leq \aleph_1$  imply  $X$  is hereditarily Lindelöf? Hereditarily separable?
2. Does  $w(X) \leq \aleph_1$  and  $X$  hereditarily c.c.c. imply  $\sigma w(X) \leq \aleph_1$ ?

Both (1) and (2) are true when the (consistent) statement (S) *there are no S-spaces* holds.

**Proposition 15.** (S) *The following are equivalent for a space  $X$ :*

- (a)  $\sigma w(X) \leq \aleph_1$ .
- (b)  $w(X) \leq \aleph_1$  and  $hc(X) \leq \aleph_0$ .
- (c)  $w(X) \leq \aleph_1$  and  $hL(X) \leq \aleph_0$ .

But both (1) and (2) can be consistently false.

**Example 16.**

- (1a) **(CH)** Let  $X$  be the Kunen line. Then  $X$  is not hereditarily Lindelöf but  $\sigma w(X) = \aleph_1$ .
- (1b) Any  $L$ -space that has weight  $\aleph_1$  is not hereditarily separable but has  $\sigma$ -weight  $\aleph_1$ . Assuming  $\diamond$ , let  $X$  be a Souslin line. Then  $X$  is not hereditarily separable but  $\sigma w(X) = \aleph_1$ .
- (2) It is consistent that there is a subspace  $X$  of  $2^{\omega_1}$  so that  $|X| = 2^{\aleph_1} > \aleph_2$  and  $X$  is hereditarily separable. Then  $w(X) = \aleph_1$ ,  $X$  is hereditarily c.c.c. but  $\sigma w(X) > \aleph_1$ .

**Proof.** *Ad (1a):* For properties of the Kunen line, see [10, Section 4]. We note that it is a locally countable  $S$ -space, such that each Kunen-line open set is the union of an Euclidean open set with countably many countable Kunen-line open sets. Thus a  $\sigma$ -base for the Kunen line is a countable base of the Euclidean topology, together with a countable local base of Kunen-line open sets for each of the  $\aleph_1$  many points of the Kunen line.

*Ad (1b):* Any base of a hereditarily Lindelöf space is a  $\sigma$ -base.

*Ad (2):* The space is the HFD described in [10, Section 5.1]. This is a hereditarily separable subspace of  $2^{\omega_1}$  of size  $2^{\aleph_1}$ , existing in a model of set theory where  $2^{\aleph_1}$  is strictly greater than  $\aleph_2$ , and the Continuum Hypothesis holds. Further,  $X$  has exactly  $2^{\aleph_1}$  many open sets. It is then clear that there can be no  $\sigma$ -base for  $X$  of size  $\aleph_1$ , since **CH** holds.  $\square$



#### 4. First countable parametrization of $\mathcal{W}(\kappa)$

Our first result gives a strong restriction on the cardinals admitting first countable parametrizations of  $\mathcal{W}(\kappa)$ .

**Proposition 17.** *If a first countable space  $Z$ , with a  $G_\delta$ -diagonal, has a self open universal, then the cofinality of  $w(Z)$  is countable.*

**Proof.** Let  $w(Z) = \kappa$ . Let  $U$  be an open universal for  $Z$  parametrized by  $Z$ . Suppose, for a contradiction, that  $cf(\kappa) > \omega$ .

Since  $Z$  is first countable it follows from Theorem 14 and the cofinality restriction, that  $Z$  contains no discrete subspaces of size  $\kappa$ . Hence, as  $Z$  has a  $G_\delta$ -diagonal, by Theorem 7(3),  $Z^2$  contains no discrete subspaces of size  $\kappa$ . So  $Z$  has either no left separated subspaces of size  $\kappa$ , or no right separated subspaces of size  $\kappa$ . In the latter case, by Theorem 7(2), it must also have no left separated subspaces of size  $\kappa$ . Applying Theorem 7(1) again, we now see that  $Z^2$  contains no right separated subspaces of size  $\kappa$ . Take a subcover  $\mathcal{V}$  of  $\{V \times W: V, W \text{ are open and } V \times W \subseteq U\}$ , of minimal size. Then, as  $Z^2$  contains no right separated subspaces of size  $\kappa$  (or larger),  $|\mathcal{V}| < \kappa$ . But this implies that  $\mathcal{B} = \{V: V \times W \in \mathcal{V}\}$  is a base for  $Z$  of size  $< \kappa$ , contradicting  $w(Z) = \kappa$ .

In a similar way, we obtain  $w(Z) = \kappa$  if  $Z$  does not admit a left separated subspace of size  $\kappa$ .  $\square$

Recall that for a cardinal  $\kappa$ , which is the limit of a sequence  $(\kappa_n)_{n \in \omega}$  of cardinal numbers, we define  $C_\kappa = \prod_{n \in \omega} D(\kappa_n)$ . Note that  $C_{\aleph_0}$  is the Cantor set. For larger  $\kappa$ ,  $C_\kappa$  is a metrizable space sharing many of the properties of the Cantor set and the generalised Cantor cubes. Critically, the  $C_\kappa$ 's (like the Cantor cubes) efficiently parametrize all spaces of the same or lesser weight, provided  $\kappa$  is a strong limit.

**Theorem 18.** *For each strong limit cardinal,  $\kappa$ , of countable cofinality, the metrizable space  $C_\kappa$  of weight  $\kappa$ , parametrizes  $\mathcal{W}(\kappa)$ .*

**Proof.** Fix  $\kappa_n$  converging up to  $\kappa$  with  $\kappa_{n+1} \geq 2^{\kappa_n}$  for all  $n \in \omega$ ; and fix surjections  $\phi_{n+1}$  of  $\kappa_{n+1}$  onto  $2^{\kappa_n}$ . Define  $Y = \prod_{n \geq 1} D(\kappa_n)$ . Then  $Y$  is homeomorphic to  $C_\kappa$ . Let  $\mathcal{B}$  be a base for a space  $X$  in  $\mathcal{W}(\kappa)$ , where  $\mathcal{B} = \bigcup_{n \in \omega} \mathcal{B}_n$  and  $\mathcal{B}_n = \{B_\alpha^n\}_{\alpha \in \kappa_n}$ .

For a fixed  $y$  in  $Y$  and  $n \geq 1$ , noting that  $\phi_n(y(n))$  is a function from  $\kappa_{n-1}$  to  $\{0, 1\}$ , define  $U(y, n)$  to be  $\bigcup \{B_\alpha^{n-1}: \phi_n(y(n))(\alpha) = 1\}$ . Now define  $U = \bigcup_{y \in Y} U^y \times \{y\}$  where  $U^y = \bigcup_{n \geq 1} U(y, n)$ .

One can check that  $U$  is open. Since, for an open subset  $V$  of  $X$ ,  $V = \bigcup_{n \in \omega} V_n$  where  $V_n = \bigcup \{B_\alpha^n: B_\alpha^n \subseteq V\}$ , it follows that  $U$  is an open universal for  $X$  parametrized by  $Y$ .  $\square$

Parts (1)–(3) of Theorem A in the introduction, follow from Proposition 17 and Theorem 18, observing that, under **GCH**, cardinals of countable cofinality are all strong limits. Parts (4) and (5) of Theorem are proved next.

**Proposition 19.** *It is consistent that:*

*No first countable space of weight  $\aleph_\omega$  parametrizes  $D(\aleph_\omega)$ .*

**Proof.** We give two models of Set Theory where the claim holds.

The first is a model of **MA** and  $2^{\aleph_0} = \aleph_{\omega+1}$ . In this case,  $\mathcal{P}\aleph_1 \equiv \langle \aleph_\omega \rangle_{\aleph_0}$  is false by Theorem 13(2). Hence Proposition 11 gives the claim.

Alternatively, forcing with  $Fn(\aleph_{\omega+2}, 2, \aleph_1)$  over a ground model satisfying **GCH** yields a model in which  $\aleph_\omega^{\aleph_0} = \aleph_{\omega+1}$  and  $2^{\aleph_\omega} \geq \aleph_{\omega+2}$ . This is because the forcing (being countably closed) creates no new countable sets of ordinals, so  $\aleph_\omega^{\aleph_0}$  is unaltered; while  $2^{\aleph_1}$  becomes  $\aleph_{\omega+2}$ , and so  $2^{\aleph_\omega} \geq \aleph_{\omega+2}$ . The cardinal arithmetic then tells us that  $\mathcal{P}\aleph_\omega \equiv \langle \aleph_\omega \rangle_{\aleph_0}$  is false, by Theorem 12(2); and again the claim follows.  $\square$

**Theorem 20.** *It is consistent that  $C_{\aleph_\omega}$  parametrizes  $\mathcal{W}(\aleph_\omega)$  but  $\aleph_\omega$  is not a strong limit.*

**Proof.** Working in the model of Theorem 13(3), since  $\mathcal{P}\aleph_n \equiv \langle \aleph_\omega \rangle_{\aleph_0}$  holds for all  $n$ , it is straightforward to modify the proof of Theorem 18 and show that  $C_{\aleph_\omega}$  does indeed parametrize  $\mathcal{W}(\aleph_\omega)$ . Since  $2^{\aleph_0} > \aleph_\omega$  in our model,  $\aleph_\omega$  is not a strong limit.  $\square$

We now compare the properties of the standard Cantor set,  $C_{\aleph_0}$ , with that of its larger analogues,  $C_\kappa$ . Each uncountable Polish space contains a Cantor subspace [8, Section 6]. The proof can be modified to show the following results.

**Proposition 21.** *Let  $\kappa$  be a cardinal of countable cofinality, and  $X$  be a completely metrizable space of weight  $\kappa$ . If one of (1) or (2) holds, then  $X$  contains  $C_\kappa$  as a subspace.*

- (1) *Every open set of  $X$  has size at least  $\kappa$ .*
- (2) *The space  $X$  has cardinality greater than  $\kappa$ .*

From now on until the end of this section, assume  $\kappa$  is fixed to be a strong limit cardinal with countable cofinality, say  $\kappa$  is the limit of  $(\kappa_n)_{n \in \omega}$ , and there are fixed surjections  $\phi_{n+1}$  of  $\kappa_{n+1}$  onto  $2^{\kappa_n}$ .

**Definition 22.** A set  $A$  is a  $\kappa$ -metric Bernstein set if it is a subset of  $C_\kappa$  not containing a homeomorph of  $C_\kappa$  but meeting all subspaces of  $C_\kappa$  homeomorphic to  $C_\kappa$ .

**Lemma 23.** *There exist  $\kappa$ -metric Bernstein sets.*

**Proof.** Firstly, because the space  $C_\kappa$  is completely metrizable, each completely metrizable subspace of a metrizable space is a  $G_\delta$  [8, Theorem 3.11], and because there are  $2^\kappa$  many  $G_\delta$ -subsets of  $C_\kappa$ , there are at most  $2^\kappa$  many subspaces of  $C_\kappa$  homeomorphic to  $C_\kappa$ .

Secondly, we note that from Theorem 18,  $2^\kappa \leq \kappa^\omega = |C_\kappa|$  is true.

Following the construction of the Bernstein sets of the real line and using the two facts above will give a  $\kappa$ -metric Bernstein set.  $\square$

**Theorem 24.** *Every  $\kappa$ -metric Bernstein set parametrizes  $\mathcal{W}(\kappa)$ .*

**Proof.** Let  $A$  be a  $\kappa$ -metric Bernstein set (in  $C_\kappa$ ). Let  $X$  be a space in  $\mathcal{W}(\kappa)$ , with basis  $\mathcal{B}$ , where  $\mathcal{B}$  is the increasing union of  $\mathcal{B}_n$ ,  $\mathcal{B}_n = \{B_\alpha^n\}_{\alpha \in \kappa_n}$ , and, in this enumeration, each element of  $\mathcal{B}_n$  is repeated  $\kappa_n$  times.

Split  $C_\kappa$  into two clopen pieces both homeomorphic to  $C_\kappa$ . This splits  $A$  into two non-empty clopen parts,  $A_0$  and  $A_1$  say, where  $A_1$  is a  $\kappa$ -metric Bernstein subset of the second copy of  $C_\kappa$ . We may use  $A_0$  to parametrize the empty set (many times over!), and so, without loss of generality, restrict ourselves to showing that we can parametrize all non-empty open subsets of  $X$  by  $A$  a  $\kappa$ -metric Bernstein subset of  $Y = \prod_{n \geq 1} D(\kappa_n)$ .

Let  $U_0$  be the open universal for  $X$  parametrized by  $Y$  as was defined in the proof of Theorem 18; and let  $U = (X \times A) \cap U_0$ . We are going to prove that  $U$  is an open universal for  $X$  parametrized by  $A$ . To do this, it is sufficient to show that, for each non-empty open subset  $V$  of  $X$ , the set  $V_Y = \{y \in Y : U_0^y = V\}$  meets  $A$ . By the properties of  $\kappa$ -metric Bernstein sets, there is a non-empty intersection, provided  $V_Y$  contains a copy of  $C_\kappa$ .

Fix  $V$  as above. Fix  $y_0$  in  $V_Y$ . As  $V$  is non-empty there is an  $N \geq 1$  and  $\alpha_N$  in  $\kappa_{N-1}$  so that  $\phi_N(y_0(N))(\alpha_N) = 1$ . As the  $\mathcal{B}_n$ 's are increasing, for each  $m \geq N$  we can pick  $\alpha_m$  in  $\kappa_{m-1}$  so that  $\phi_m(y_0(m))(\alpha_m) = 1$ . For  $m \geq N$ , let  $\Lambda_m = \{\alpha \in \kappa_{m-1} : B_\alpha^{m-1} = B_{\alpha_m}^{m-1}\}$ . Since elements of  $\mathcal{B}_{m-1}$  are repeated  $\kappa_{m-1}$  times,  $\Lambda_m$  has cardinality  $\kappa_{m-1}$ , for all  $m \geq N$ . Hence,  $T = \{y \in Y : y(k) = y_0(k) \text{ for } k < N \text{ and } \phi_m(y(m))(\alpha_m) = 1, \phi_m(y(m))(\alpha) = \phi_m(y_0(m))(\alpha) \text{ } (\alpha \in \kappa_{m-1} \setminus \Lambda_m) \text{ for } m \geq N\}$ , is a homeomorph of  $C_\kappa$  contained in  $V_Y$ , as desired.  $\square$

## 5. Self parametrizing spaces

A second (the first is Proposition 17) necessary condition for the existence of open self-universal sets is as follows.

**Proposition 25.** *Let  $Z$  be a space with a  $G_\delta$ -diagonal and an open universal set parametrized by itself. Then  $w(Z) \leq hc(Z)$ . In particular, if  $Z$  is hereditarily c.c.c., then it is metrizable.*

**Proof.** We know from Theorem 7(3) that  $hc(Z^2) = hc(Z) = \kappa$ . By an easy argument, it follows that either  $hd(Z) \leq \kappa$  or  $hL(Z) \leq \kappa$ . If  $hL(Z) \leq \kappa$ , then since  $Z$  has an open universal set parametrized by itself,  $hd(Z) \leq \kappa$ , by Theorem 5. Therefore by Theorem 7(1) and Lemma 8, we have  $hL(Z^2) \leq \kappa$ , leading to  $w(X) \leq \kappa$ .  $\square$

The statement (B) is *every Boolean algebra with no uncountable weak antichains is countable*. In [2] it is pointed out that techniques of Shelah show that (B) and  $2^{\aleph_0} < 2^{\aleph_1}$  is consistent. (See [1,2] for more details on (B).)

**Theorem 26** ( $(2^{\aleph_0} < 2^{\aleph_1})$  and (B)). *Every compact, first countable, zero-dimensional space with a self parametrized open universal, is metrizable.*

**Proof.** Let  $Z$  be as in the statement of the theorem; and let  $X$  and  $Y$  be two copies of  $Z$ . Then by Arhangel'skii's theorem,  $|Y| \leq 2^{\aleph_0}$ , and as  $2^{\aleph_0} < 2^{\aleph_1}$ ,  $X$  must be hereditarily c.c.c. (Theorem 4). Now  $Y$  is a hereditarily c.c.c. space parametrizing an open universal for the compact zero-dimensional  $X$ . The claim, that  $X$  (and so  $Z$ ) is metrizable, follows from [5, Theorem 28].  $\square$

**Example 27.** It is consistent that there is a compact, zero-dimensional and first countable space, of weight  $\aleph_\omega$ , parametrizing  $\mathcal{W}(\aleph_\omega)$ .

**Proof.** We work in the model of Theorem 13(3). In that model  $\aleph_\omega < 2^{\aleph_0}$ . So we may pick  $D = \bigcup_{n \in \omega} D_n$  contained in the Cantor set with  $|D_n| = \aleph_n$ . Let  $Y_n$  be the subspace of the Alexandrov duplicate of the Cantor set, consisting of all the non-isolated points along with the isolated points corresponding to  $D_n$ . Note that  $Y_n$  has weight  $\aleph_n$  and contains a copy of  $D(\aleph_n)$ . Let  $Y$  be the product of the  $Y_n$  ( $n \in \omega$ ). Then  $Y$  is a compact, zero-dimensional, first countable space, of weight  $\aleph_\omega$ , containing  $C_{\aleph_\omega}$ , and thus it parametrizes  $\mathcal{W}(\aleph_\omega)$ .  $\square$

Compactness is essential in Example 27, as the next example demonstrates. Recall that  $\beth_1 = 2^{\aleph_0}$ , inductively  $\beth_{n+1} = 2^{\beth_n}$ , and  $\beth_\omega = \lim_{n \in \omega} \beth_n$ . Then  $\beth_\omega$  is a strong limit cardinal of countable cofinality.

**Example 28.** There is a first countable space with  $G_\delta$ -diagonal parametrizing itself which is not metrizable.

**Proof.** Let  $S$  be the Sorgenfrey line. Let  $Y$  be the sum of  $S$  and  $C_{\beth_\omega}$ . Then  $Y$  is first countable, has a  $G_\delta$ -diagonal, and, since it contains  $C_{\beth_\omega}$ , parametrizes  $\mathcal{W}(\beth_\omega)$ , and, in particular, parametrizes itself.  $\square$

## 6. Parametrizing $\mathcal{W}(\kappa)$ weight efficiently

Note that if a space of weight  $\kappa$  contains a copy of the Cantor cube  $2^\kappa$ , then it parametrizes  $\mathcal{W}(\kappa)$ . But this property does not determine those spaces which parametrize in a weight efficient manner a  $\mathcal{W}(\kappa)$ .

Recall that a Bernstein subset of the Cantor set,  $C$ , is one which meets all copies of the Cantor set in  $C$ , but which contains none. For an arbitrary infinite cardinal  $\kappa$  we similarly define a  $\kappa$ -Cantor Bernstein set to be a subspace of  $2^\kappa$  meeting all subspaces of  $2^\kappa$  homeomorphic to  $2^\kappa$  but containing no such subspaces. The standard argument for the Cantor set proves equally well that  $\kappa$ -Cantor Bernstein sets exist for all infinite  $\kappa$ .

The proof of the following result is similar to, but somewhat simpler than, that of Theorem 24.

**Theorem 29.** Fix an infinite cardinal  $\kappa$ . Let  $B$  be any  $\kappa$ -Cantor Bernstein set. Then  $B$  parametrizes  $\mathcal{W}(\kappa)$ , but does not contain a copy of  $2^\kappa$ .

**Proof.** Let  $X$  be in  $\mathcal{W}(\kappa)$ . Split  $2^\kappa$  into two clopen pieces both homeomorphic to  $2^\kappa$ . This splits  $B$  into two non-empty clopen parts,  $B_0$  and  $B_1$  say, where  $B_1$  is a  $\kappa$ -Cantor Bernstein subset of the second copy of  $2^\kappa$ . We may use  $B_0$  to parametrize the empty set, and so, without loss of generality, restrict ourselves to showing that we can parametrize all non-empty open subsets of  $X$  by  $B$ . Let  $U_0$  be the open universal for  $X$  parametrized by  $2^\kappa$  corresponding to some basis  $\mathcal{B} = \{B_\alpha\}_{\alpha \in \kappa}$  for  $X$  as given by [5, Lemma 2]; and let  $U = (X \times B) \cap U_0$ . To complete the proof it is sufficient to show that, for a non-empty open subset,  $V$  say, of  $X$ , the set  $V_{2^\kappa} = \{f \in 2^\kappa : U_0^f = V\}$  meets  $B$ . By the properties of  $\kappa$ -Cantor Bernstein sets there is a non-empty intersection provided  $V_{2^\kappa}$  contains a copy of  $2^\kappa$ .

Fix  $V$  as above. Fix  $f_0$  in  $V_{2^\kappa}$ . As  $V$  is non-empty there is an  $\alpha_0$  so that  $f_0(\alpha_0) = 1$ . Let  $\Lambda = \{\alpha : B_\alpha \subset B_{\alpha_0}\}$ . Then  $|\Lambda| = \kappa$  and any  $f$  in  $2^\kappa$  which coincides with  $f_0$  off  $\Lambda$  is in  $V_{2^\kappa}$ . Clearly these  $f$  form a subspace homeomorphic to  $2^\kappa$ .  $\square$

Observe that any infinite space contains a homeomorph of  $D(\aleph_0)$ . Hence if a space  $Y$  does not parametrize  $D(\aleph_0)$ , then  $Y$  does not parametrize any infinite space. Note also that if a space  $Y$  has size strictly less than  $\mathfrak{c}$ , then (for the most basic of reasons) it can not parametrize  $D(\aleph_0)$ .

**Example 30. (MA)** There is a subspace of the Cantor set, of size  $\mathfrak{c}$ , which does not parametrize  $D(\aleph_0)$ .

**Proof.** For concreteness, consider  $D(\aleph_0)$  to have underlying set  $\omega$ . Let  $\{U_\alpha\}_{\alpha \in \mathfrak{c}}$  be the collection of all open subsets of the product of  $\omega$  and the Cantor set. We diagonalise through this collection of potential open universals.

Define  $\psi_\alpha : 2^\omega \rightarrow \mathcal{P}(\omega)$  via  $\psi_\alpha(y) = U_\alpha^y$ . Endow the Cantor set with the product measure,  $m$ . Note that the measure algebra of  $m$  satisfies the c.c.c.

Suppose we have picked  $y_\beta \in 2^\omega$  and  $S_\beta \in \mathcal{P}(\omega)$  for all  $\beta < \alpha < 2^\omega$ , such that, for each  $\beta < \alpha$ ,  $y_\beta \notin \{y_\gamma\}_{\gamma < \beta} \cup \bigcup_{\gamma \leq \beta} \psi_\gamma^{-1}(S_\gamma)$  and  $m(\psi_\beta^{-1}(S_\beta)) = 0$ . Let  $E_\alpha = \{\psi_\alpha^{-1}(S) : S \in \mathcal{P}(\omega) \wedge \forall \beta < \alpha (y_\beta \notin \psi_\alpha^{-1}(S))\}$ . Note that this set either contains the empty set or has continuum cardinality.

As  $\omega$  is countable, each  $S \in \mathcal{P}(\omega)$  is countable. Therefore for all  $\alpha \in \mathfrak{c}$ ,  $\psi_\alpha^{-1}(S)$  is a  $G_\delta$ -subset of the Cantor set. Hence  $\psi_\alpha^{-1}(S)$  is measurable for each subset  $S$  of  $\omega$ .

Since  $E_\alpha$  either contains the empty set or is uncountable, there is an  $S_\alpha \in \mathcal{P}(\omega)$  such that  $\psi_\alpha^{-1}(S_\alpha) \in E_\alpha$  and  $m(\psi_\alpha^{-1}(S_\alpha)) = 0$ . Moreover, because we are assuming Martin's Axiom, the set  $\{y_\beta\}_{\beta < \alpha} \cup \bigcup_{\beta \leq \alpha} \psi_\beta^{-1}(S_\beta)$  has measure zero. So we can choose  $y_\alpha$  not in this set.

Let  $Y = \{y_\alpha\}_{\alpha \in \mathfrak{c}}$ . This subspace of the Cantor set has cardinality  $\mathfrak{c}$  and does not parametrize any open universal set of  $\omega$ .  $\square$

On the other hand, such an example described above consistently needs not exist.

**Theorem 31.** *It is consistent that every metric space of size  $\mathfrak{c}$  parametrises  $\mathcal{W}(\aleph_0)$ .*

**Proof.** It is known that under the iterated perfect set model, every metric space of size  $\mathfrak{c}$  can be continuously mapped onto the closed unit interval (see [11]). The statement is proved when we note that continuous surjective pre-images of superspaces of parametrising spaces for  $X$  are also parametrising spaces for  $X$ .  $\square$

## 7. Open problems, further developments

There remain a number of open questions concerning parametrizing open universals. In addition, new lines of inquiry suggest themselves.

- (1) Is the restriction to spaces with a  $G_\delta$ -diagonal necessary in Theorem A(2)?
- (2) Can the restriction to zero-dimensional spaces be removed from Theorem B(2)?

*$\sigma$ -Generators.* Let  $\lambda$  be minimal so that  $\mathcal{P}\aleph_1 \equiv \langle \lambda \rangle_{\aleph_0}$  holds. Is it consistent that  $\lambda = \aleph_2 < 2^{\aleph_0}$ ? Is it consistent that  $2^{\aleph_0} < 2^{\aleph_1}$  and  $\lambda < 2^{\aleph_1}$ ?

*Topology of Cantor cubes.* We have introduced a new cardinal invariant,  $\sigma$ -weight, and shown that  $2^{\aleph_1}$  can have ‘interesting’  $\sigma$ -weight. This suggests further investigation of the topology of Cantor cubes via cardinal invariants.

For example, if  $\mathcal{C}$  is a  $\sigma$ -generator for  $\mathcal{P}(\aleph_1)$ , then identifying subsets of  $\aleph_1$  with their characteristic functions, we see that  $2^{\aleph_1}$  has a subspace of size  $|\mathcal{C}|$  so that every element of  $2^{\aleph_1}$  is the limit from below of a sequence from  $\mathcal{C}$ . Define the *sequential density* of a space  $X$ , by  $d_s(X) = \min\{|D| : \text{every element of } X \text{ is the limit of a sequence on } D\}$ . What are the possible values of  $d_s(2^{\aleph_1})$ ? Can it be countable? Can it ever be  $\aleph_1$  (cf. Theorem 12.3)?

*Function universals.* The idea of an universal object is very flexible. For example, let us define a function  $F : X \times Y \rightarrow \mathbb{R}$  to be *continuous function universal* if  $F$  is continuous and for every continuous  $f : X \rightarrow \mathbb{R}$  there is a  $y \in Y$  so that  $F(\cdot, y) = f$ .

Which (Tychonoff) spaces,  $X$ , have a continuous function universal parametrized by a metrizable space of weight  $w(X)$ ? For which cardinals  $\kappa$  does every Tychonoff space of weight  $\kappa$  have a continuous function universal parametrized by a metrizable space of weight  $\kappa$ ?

Note that in the above questions, we can take  $Y = C(X)$ , and  $F$  as the evaluation map. Thus we are looking for metrizable ‘admissible’ topologies on  $C(X)$  of minimal weight.

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